

FORMALITIES LETTER

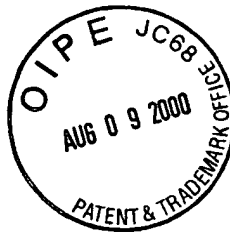


OC000000005199476

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/552,705	04/19/2000	Shiuan Chen	2124-311

Stephen A Saxe
Rothwell Figg Ernst & Kurz
Suite 701
555 13th Street NW
Washington, DC 20004



Date Mailed: 06/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$810.
 - \$576 for 32 total claims over 20.
 - \$234 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1630.**

*A copy of this notice **MUST** be returned with the reply.*

M. May
Customer Service Center

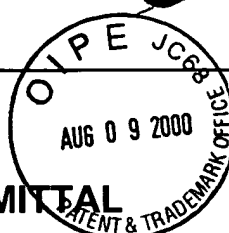
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/11/2000 GTEFFER 00000001 09552705
01 FC:201 345.00
02 FC:202 117.00
03 FC:203 288.00
04 FC:205 65.00

BOX MISSING PARTS

Setor



FEE TRANSMITTAL

(Small Entity)

Complete if Known

FEE TRANSMITTAL (Small Entity)		Application Number	09/552,705
		Filing Date	19 April 2000
		First Named Inventor	Shiuan CHEN et al.
		Group Art Unit	1643
		Examiner Name	Not Yet Assigned
Total Amount of Payment	(\$815.00)	Attorney Docket Number	2124-311

METHOD OF PAYMENT (check one)

- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☐ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge for the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance
- ☒ Payment Enclosed: Check

FEE CALCULATION

1. FILING FEE

Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> Utility Filing Fee	201	345
<input type="checkbox"/> Design Filing Fee	206	155
<input type="checkbox"/> Plant Filing Fee	207	240
<input type="checkbox"/> Reissue Filing Fee	208	345
<input type="checkbox"/> Provisional Filing Fee	214	75
SUBTOTAL		\$345.00

2. CLAIMS

		Fee from Extra	below		Fee Paid
Total Claims	52 - 20 =	32	x \$ 9	=	288.00
Independent Claims	6 - 3 =	3	x 39	=	117.00
Multiple Dependent Claims		+	130	=	

SUBTOTAL \$ 405.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> Surcharge - late filing fee or oath	205	65
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	227	25
<input type="checkbox"/> Non-English specification	139	130
<input type="checkbox"/> For filing a request for reexamination	147	2,520
<input type="checkbox"/> Requesting publication of SIR prior to Examiner action	112	920
<input type="checkbox"/> Requesting publication of SIR after Examiner action	113	1,840*
<input type="checkbox"/> Extension for reply within first month	215	55
<input type="checkbox"/> Extension for reply within second month	216	190
<input type="checkbox"/> Extension for reply within third month	217	435
<input type="checkbox"/> Extension for reply within fourth month	218	680
<input type="checkbox"/> Extension for reply within fifth month	228	925
<input type="checkbox"/> Notice of Appeal	219	150
<input type="checkbox"/> Filing a brief in support of an appeal	220	150
<input type="checkbox"/> Request for Oral Hearing	221	130
<input type="checkbox"/> Petition to institute a public use proceeding	138	1,510
<input type="checkbox"/> Petition to revive -unavoidable	240	55
<input type="checkbox"/> Petition to revive - unintentional	241	605
<input type="checkbox"/> Utility issue fee (or reissue)	242	605
<input type="checkbox"/> Design issue fee	243	215
<input type="checkbox"/> Plant issue fee	244	290
<input type="checkbox"/> Petitions to the Commissioner	122	130
<input type="checkbox"/> Petitions related to provisional applications	123	50
<input type="checkbox"/> Submission of Information Disclosure Statement	126	240
<input type="checkbox"/> Recording each patent assignment per property (times number of properties)	581	40
<input type="checkbox"/> Filing a submission after final rejection (37 CFR .129(a))	246	345
<input type="checkbox"/> For each additional invention to be examined (37 CFR 1.129(b))	249	345

Other fee (specify)

☐

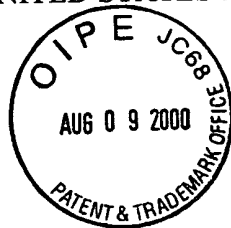
* Reduced by Basic Filing Fee Paid

SUBTOTAL \$65.00

SUBMITTED BY		Complete (if applicable)			
NAME & REG. NUMBER	Stephen A. Saxe, Ph.D., Reg. No. 38,609				
SIGNATURE	<i>Stephen A. Saxe</i>	DATE	9 August 2000	DEPOSIT ACCOUNT USER ID	02-2135

2124-311
SAS:elp

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

Shiuan CHEN et al.

Serial No. 09/552,705

Filed: 19 April 2000

For: DRUG SCREENING USING A)
PROLINE-RICH NUCLEAR)
RECEPTOR CO-REGULATORY)
PROTEIN/NUCLEAR RECEPTOR)
CO-EXPRESSION SYSTEM)

) **Box Missing Parts**

) Attention:

) Applications Branch

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated
26 June 2000, response copy attached, a Declaration and Power of Attorney identifying the
above-referenced application by Serial Number and Filing Date is being herewith submitted.

Also attached is a check in payment of the following fees:

\$ 65.00 (surcharge under 37 C.F.R. 1.16(e))
345.00 (basic filing fee)
405.00 (additional claims fee)
\$ 815.00 TOTAL

#3

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document(s) is/are being submitted concurrently under separate cover:

- A declaration claiming small entity status.
- An Assignment with PTO Form 1595 and a separate check for \$40.00.

Respectfully submitted,

By Stephen A. Saxe
Stephen A. Saxe, Ph.D.
Attorney for Applicants
Registration No. 38,609
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202)783-6040

Dated 9 August 2000
2124-311.NMP